

1 **BLOCK CODING FOR MULTILEVEL DATA COMMUNICATION**

2 **FIELD OF THE INVENTION**

3 The present invention relates to block coding methods and apparatus for multilevel data
4 communication.

5 **BACKGROUND OF THE INVENTION**

6 In many communication systems, including both wired and wireless transmission
7 systems, there are strict limitations on transmit signal bandwidth. Such limitations impose
8 a demand for signal modulation with a number of levels greater than two. Many
9 conventional systems employ Trellis-coded modulation (TCM) in such applications.

10 There is a growing demand for communication systems, including both wired and
11 emerging wireless transmission systems, that require modulation to be accomplished with
12 a number of levels greater than two, mainly due to strict limitations on transmit signal
13 bandwidth. Trellis-coded modulation (TCM) is an example of a conventional modulation
14 scheme for such applications. However, a problem associated with TCM is that it is
15 unsuitable for iterative decoding. Therefore, further improvements in signal quality at an
16 acceptable complexity are difficult to achieve.

17 "A turbo TCM scheme with low decoding complexity," Catena Networks Inc., Temporary
18 Document BI-090, ITU-T Study Group 15, Question 4, Goa, India, 23-27 Oct. 2000,
19 "Proposal of decision making for turbo coding and report of performance evaluation of
20 proposed TTCM(PCCC) with R-S code and without R-S code," Mitsubishi Electric
21 Corp., Temporary Document BI-003, ITU-T Study Group 15, Goa, India, 23-27 Oct.

1 2000, and "Results of the requirements requested in the coding ad hoc report," Vocal
2 Technologies Inc., Temporary Document HC-073, ITU-T Study Group 15, Question 4,
3 Huntsville, Canada, 31 July - 4 August 2000, describe turbo-coding schemes for
4 multilevel ADSL and VDSL transmission. These turbo-coding techniques involve
5 encoding of the information bits by parallel concatenation of convolutional encoders in
6 recursive systematic form and iterative decoding by one of several possible
7 turbo-decoding techniques. "Block product turbo codes for G.dmt.bis and G.lite.bis."
8 Globespan Inc., Temporary Document BA-063, ITU-T Study Group 15, Question 4,
9 Antwerp, Belgium, 19-23 June 2000 describes the application of block product codes
10 using component Bose-Chaudhuri-Hoequenghem (BCH) codes and their soft iterative
11 decoding based on the Chase algorithm. These techniques offer some performance
12 enhancements over Trellis coding at the expense of incurring additional complexity.

13 Another coding technique uses Low Density Parity Check (LDPC) block codes. As
14 indicated in R. G. Gallager, "Low-density parity-check codes," *IRE Trans. Info. Theory*,
15 vol. IT-8, pp. 21-28, Jan. 1962, D. J. C. MacKay and R. M. Neal, "Near Shannon limit
16 performance of low density parity check codes, *Electron. Lett.*, vol. 32, no. 18, pp.
17 1645-1646, Aug. 1996, D. J. C. MacKay, "Good error-correcting codes based on very
18 sparse matrices," *IEEE Trans. on Inform. Theory*, vol. 45, No. 2, pp. 399-431, Mar. 1999,
19 and FOSSORIER, M.P.C., MIHALJEVIC, M., and IMAI, H.: "Reduced complexity
20 iterative decoding of low density parity check codes based on belief propagation", *IEEE*
21 *Trans. Commun.*, 1999, 47, (5), pp. 673-680 , coded modulation using LDPC codes has
22 to date focussed on applications requiring binary modulation such as wireless systems or
23 digital magnetic recording.

24 K. R. Narayanan and J. Li, "Bandwidth efficient low density parity check coding using
25 multilevel coding and interative multistage decoding," *Proc. Int. Symp. on Turbo-Codes*,
26 Brest, France, pp. 165-168, Sept. 2000 describes a multilevel coding technique based on
27 binary LDPC block codes. This technique uses LDPC block codes for bit-interleaved

1 modulation or for multilevel coding with iterative multi-stage decoding. For
 2 bit-interleaved LDPC modulation according to this technique, all the bits used to select a
 3 multilevel symbol are LDPC code bits. For multilevel coding, several LDPC block codes
 4 are used as component codes in a multilevel scheme. This technique has the drawback of
 5 requiring more than one LDPC encoder/decoder, leading to substantial implementation
 6 complexity especially for long codes and/or large constellation sizes.

7 “Low density parity check coded modulation for ADSL,” Aware Inc., Temporary
 8 Document BI-081, ITU-T Study Group 15, Question 4, Goa, India, 23-27 October 2000
 9 also describes a multilevel coding technique based on binary LDPC block codes. This
 10 technique is similar to TCM, except that LDPC coding is employed instead of
 11 convolutional coding. In particular, set partitioning follows the same principle as that
 12 used in TCM. This technique has the drawback of requiring an additional
 13 Bose-Chaudhuri-Hoenguenhem (BCH) code which adds to system complexity. Also, set
 14 partitioning, as required in TCM and similar schemes, leads to poor performance for
 15 soft-decision based decoding techniques.

16 SUMMARY OF THE INVENTION

17 In accordance with the present invention, there is now provided a method for multilevel
 18 data communication comprising: dividing a set of information bits to be transmitted into
 19 a first group and a second group; encoding the first group to generate a block code ;
 20 selecting a subset of symbols in a constellation of symbols in dependence on the block
 21 code according to a Gray-coded mapping function; selecting a symbol within the subset
 22 in dependence on the second group according to a Gray-coded mapping function; and,
 23 transmitting the selected symbol.

24 Example embodiments of the present invention further comprise receiving the selected
 25 symbol and recovering the set of information bits from the selected symbol. The

1 recovering of the set of information bits may comprise soft demapping the received
2 symbol to generate a probability for each of the bits represented in the symbol to have a
3 particular value and decoding the received symbol to recover the set of information bits in
4 dependence on the probabilities generated by the soft demapping and the received
5 symbol.

6 Viewing the present invention from another aspect, there is now provided apparatus for
7 multilevel data communication, the apparatus comprising: a divider for dividing a set of
8 information bits to be transmitted into a first group and a second group; a block encoder
9 connected to the divider for encoding the first group to generate a block code; and,
10 a symbol mapper connected to the divider and the block encoder for selecting a subset of
11 symbols in a constellation of symbols in dependence on the block code according to a
12 Gray-coded mapping function, selecting a symbol within the subset in dependence on the
13 second group according to a Gray-coded mapping function, and transmitting the selected
14 symbol.

15 Further embodiments of the present invention comprise a receiver for receiving the
16 selected symbol and recovering the set of information bits from the selected symbol. The
17 receiver may comprise: a soft demapper for demapping the received symbol to generate a
18 probability for each of the bits represented in the symbol to have a particular value and a
19 decoder for decoding the received symbol to recover the set of information bits in
20 dependence on the probabilities generated by the soft demapping and the received
21 symbol.

22 The present invention also extends to a communications device comprising an
23 information source for generating a set of information bits and apparatus for multilevel
24 data transmission as herein before described connected to the information source for
25 transmitting the set of the information bits.

1 The first group may comprise least significant bits of the set of information bits and the
2 second group comprises most significant bits of the set of information bits. Alternatively,
3 the first group may comprise most significant bits of the set of information bits and the
4 second group comprises least significant bits of the set of information bits.

5 The present invention advantageously offers superior performance in terms of achievable
6 coding gains. This is because, block coding schemes can be decoded iteratively, thereby
7 leading to substantial performance gains as compared to trellis-coded modulation.
8 Particularly advantageous embodiments of the present invention comprise multilevel
9 encoding schemes based on LDPC codes or simple product codes that do not need
10 interleaving and that can be decoded via the simple sum-product algorithm (SPA) or
11 low-complexity derivatives thereof. LDPC codes provide an increase the Signal to Noise
12 Ratio (SNR) gains. Turbo codes may also be employed. In general, turbo codes are
13 decoded in an iterative fashion utilizing a complex soft-input soft-output
14 Bahl-Cocke-Jelinek-Raviv (BCJR) algorithm or sub optimal versions thereof. However,
15 in comparison with turbo codes, LDPC codes exhibit asymptotically a superior
16 performance without suffering from "error floors" and admit a wide range of tradeoffs
17 between performance and decoding complexity. Therefore, LDPC codes are preferred.
18 However, it will be appreciated that the present invention is equally applicable to other
19 classes of block codes, such as product codes and repeat-accumulate codes.

20 Multilevel modulation using LDPC codes can be addressed either by use of binary LDPC
21 codes or by use of non binary LDPC codes. However, the latter approach requires higher
22 implementation complexity than the former. Particularly advantageous embodiments of
23 the present invention to be described shortly, implement coded multilevel modulation
24 based on binary LDPC codes.

25 Viewing the present invention from yet another aspect, there is provided a multilevel
26 coding scheme for block codes that uses a combination of block-encoded bits and

1 uncoded bits in selecting multilevel symbols. The advantage of allowing for uncoded bits
2 in the mapping function is increased flexibility, particularly in selecting the size of the
3 QAM symbol constellations. Another advantage is additional performance gain due to
4 high spectral efficiency. Irrespective of the block code employed, encoding the 4 LSBs of
5 the transmitted 2D symbols is sufficient to achieve acceptable performance for all
6 constellations of size greater than 16.

7 In an especially particular embodiment of the present invention, there is provided a
8 carrier transmission method comprising partially block-coded multilevel transmission and
9 iterative decoding. The method is applicable to both single carrier and multicarrier
10 systems. Because, in accordance with the present invention, interleaving can be avoided,
11 the present invention is particularly applicable to systems requiring low encoding latency.

12 DESCRIPTION OF THE DRAWINGS

13 Embodiments of the present invention will now be described, by way of example only,
14 with reference to the accompanying drawings, in which:

15 Fig. 1 is a block diagram of a communication system embodying the present invention;

16 Fig. 2 is a block diagram of a transmitter of the communication system;

17 Fig. 3 is a block diagram of a receiver of the communication system;

18 Fig. 4 is a graph of symbol-error probability versus SNR_{norm} for a 64-QAM
19 communication system embodying the present invention;

- 1 Fig. 5 is a graph of symbol-error probability versus SNR_{norm} for a 4096-QAM
2 communication system embodying the present invention; and,
- 3 Fig. 6 is a graph demonstrating the performance of an example of an LLR-SPA for an
4 additive white Gaussian noise channel.

5 DESCRIPTION OF THE INVENTION

6 Referring first to Figure 1, an advantageous embodiment of the present invention
7 comprises a transmitter 10 connected to a receiver 20 via a communication channel 30. In
8 operation, the transmitter 10 receives a sequence of information bits 50 from an
9 information source 40. The transmitter converts the information bits 50 into multilevel
10 symbols 60 for transmission to the receiver via the communication channel 30. The
11 multilevel symbols 60 are of a complex form having a real part and an imaginary part.
12 The communication channel 30 introduces noise to the multilevel symbols 100 to
13 produce a flow of noisy multilevel symbols 70 into the receiver 20. The receiver then
14 serially recovers the information bits from the received symbols 70. The recovered
15 information bits 80 are then supplied to a recipient system (not shown).

16 Referring now to Figure 2, the transmitter 10 comprises a divider 100, a block encoder
17 110 and a symbol mapper 120. In operation, at each modulation instant, the divider 100
18 divides a set of information 50 bits from the information source 40 to be communicated
19 to the receiver 20 into a first group and a second group. The block encoder 110 encodes
20 the first group to generate a block code. The symbol mapper 120 connected to the divider
21 and the block encoder for selecting a subset of symbols in a constellation of symbols in
22 dependence on the block code according to a Gray-coded mapping function and for
23 selecting a symbol within the subset in dependence on the second group according to a
24 Gray-coded mapping function. Multilevel symbols 60 thus generated by the symbol

1 mapper 120 are communicated to the receiver 20 via the communication channel 30. The
2 divider 100 may implemented by a shift register or similar logical function.

3 With reference to Figure 3, the receiver 20 comprises a multilevel decoder 140 and a soft
4 demapper 130. In operation, the noisy multilevel symbols 70 are soft demapping by the
5 soft demapper 130 to provide soft information on individual code bits in the form of a
6 *posteriori* probabilities 150. The probabilities 150 are employed at the multilevel decoder
7 140 to carry out an LDPC decoding procedure comprising a Sum-Product Algorithm
8 (SPA) for recovering the information bits from the received symbols 70. The recovered
9 information bits 90 are then supplied to a recipient system.

10 Referring back to Figure 1, it will be appreciated that the transmitter 10 and receiver 20
11 may be implemented by hardwired logic, by a general purpose processor or dedicated
12 digital signal processor programmed with computer program code, or by hardwired logic
13 and computer program code in combination. It will also be appreciated that the functions
14 of transmitter 10 and receiver 20 may be integrated in a unitary device 160 such as an
15 application specific integrated circuit (ASIC) transceiver device.

16 When the symbol constellation employed in the symbol mapper 120 is a square QAM
17 constellation (i.e., b is even), and provided that the in-phase and quadrature components
18 of the noise at the input of the soft demapper 130 are independent, soft demapping can be
19 achieved independently for the real and imaginary parts of the complex symbols received.
20 The computational complexity of soft demapping is substantially reduced in comparison
21 with joint demapping of real and imaginary signals jointly. Square QAM constellations
22 will therefore be considered for the purposes of this explanation. However, extensions to
23 cover other types and shapes of constellations can easily be derived. It will thus suffice to
24 describe multilevel LDPC encoding and decoding for L -ary PAM ($L = 2^b$) with the
25 symbol alphabet

$$\mathring{A} = \{A_0 = -(L-1), A_1 = -(L-3), \dots, A_{L/2-1} = -1, A_{L/2} = +1, \dots, A_{L-1} = +(L-1)\}. \quad (1)$$

Each symbol in the set \mathring{A} is labeled with a binary b -tuple $(x_{b-1}, x_{b-2}, \dots, x_1, x_0)$. The b_c least significant bits (LSBs) $(x_{b_c-1}, x_{b_c-2}, \dots, x_1, x_0)$ label subsets of the set \mathring{A} . The subsets $\mathring{A}_i, i = 0, 1, \dots, 2^{b_c} - 1$ are obtained by partitioning \mathring{A} so as to maximize the minimum Euclidean distance between the symbols within each subset. The $b_u = b - b_c$ most significant bits (MSBs) $(x_{b-1}, x_{b-2}, \dots, x_{b-b_u+1}, x_{b-b_u})$ label the symbols within a subset. Furthermore, the b_c LSBs and b_u MSBs each follow a Gray coding rule. Table 1 below gives an example of symbol labeling and mapping for the case $L = 16$. Note that the symbol mapping obtained by this approach is different from the one used in conventional trellis-coded modulation. A description of conventional trellis-coded modulation is provided in G. Ungerboeck, "Channel coding with multilevel/phase signals," IEEE Trans. on Information Theory, Vol. IT-28, No. 1, pp. 55-67, Jan. 1982.

L-ary symbol	x_3	x_2	x_1	x_0	Subset number
+15	0	0	0	0	0
+13	0	0	0	1	1
+11	0	0	1	1	2
+9	0	0	1	0	3
+7	0	1	0	0	0
+5	0	1	0	1	1
+3	0	1	1	1	2
+1	0	1	1	0	3
-1	1	1	0	0	0
-3	1	1	0	1	1
-5	1	1	1	1	2
-7	1	1	1	0	3
-9	1	0	0	0	0
-11	1	0	0	1	1
-13	1	0	1	1	2
-15	1	0	1	0	3

Table 1: Example of symbol labeling for the case $L = 16$, with $b_u = 2$ and $b_c = 2$.

1 With the above labeling, an L-ary symbol is used to convey b_c LDPC code bits and b_u
 2 uncoded information bits. If coding is achieved with a binary (N,K) LDPC code with K
 3 being the information block length and N being the code length, then this mapping
 4 technique results in a spectral efficiency of

$$5 \quad \eta = \frac{K}{N}b_c + b_u \text{ bits/s/Hz} \quad (2)$$

6 Decoding of the LDPC-coded signals is achieved in two steps: in the first step, LDPC
 7 decoding is performed for the sequence of least significant b_c bits and in the second step
 8 the sequence of b_u uncoded bits is estimated.

9 Denoting by y the received real signal (corresponding, in general, to the real or imaginary
 10 part of the received complex signal):

$$11 \quad y = A + n \quad (3)$$

12 With $A \in \mathring{A}$ and n an AWGN sample with variance σ_n^2 , the *a posteriori* probability
 13 (APP) that bit $x_{\lambda}, \lambda = 0, 1, \dots, b_c - 1$, is zero (alternately one) is computed as:

$$14 \quad \Pr(x_{\lambda} = 0 \mid y) = \frac{\sum_j e^{-\frac{(y-A_j)^2}{2\sigma_n^2}}}{\sum_j^{L-1} e^{-\frac{(y-A_j)^2}{2\sigma_n^2}}}, \quad (4)$$

15 Where the summation in the numerator is taken over all symbols $A_j \in \mathring{A}$ for which $x_{\lambda} = 0$
 16 . Iterative LDPC decoding is achieved by the sum-product algorithm (SPA) using the
 17 above APPs.

1 In the second decoding step, the b_u MSBs are estimated for each received signal by first
2 determining a subset \hat{A}_i based on the recovered LDPC code bits and then making a
3 minimum Euclidean distance symbol-decision within this subset. This second decoding
4 step therefore involves a relatively low implementation complexity.

5 To illustrate the performance that can be achieved with the multilevel modulation
6 technique herein before described transmission is considered over an AWGN channel
7 using 64-QAM and 4096-QAM. The results are presented in terms of symbol-error rate
8 versus the normalized signal-to-noise ratio (SNR_{norm}) defined as

$$9 \quad SNR_{norm} = \frac{\eta}{2^n - 1} \frac{E_b}{N_o} \quad (5)$$

10 where E_b/N_o is the ratio of energy-per-bit to noise-power-spectral-density. The (1998,1777)
11 code used in the simulations is due to MacKay.

12 The graph of Figure 4 is based on 64-QAM ($b = 3$ along each dimension) and shows
13 performance for the cases where $b_u = 0$ (no uncoded bits), $b_u = 1$ (2 uncoded bits per 2D
14 symbol), and $b_u = 2$ (4 uncoded bits per 2D symbol).

15 Figure 5 shows the effect of introducing uncoded bits for 4096-QAM ($b = 12$ along each
16 dimension) by plotting system performance with 0, 2, 4, 6, 8, and 10 uncoded bits per 2D
17 symbol ($b_u = 0, 1, 2, 3, 4$, and 5, respectively).

18 Figures 4 and 5 demonstrate that it is generally sufficient to encode two LSBs only to
19 achieve acceptable performance.

20 Decoder complexity can be reduced in various ways. For example, not all the L terms need
21 to be included in the sum appearing in the denominator of Equation (4): if for a received
22 signal y the closest $L' < L$ nominal levels are determined the summation can be modified
23 to include these L' levels only. The resulting loss in performance is usually very small. A

1 similar approach can be taken for the numerator term. Furthermore, messages passed
2 between the nodes in the SPA need not be a posteriori probabilities but can be likelihood
3 or log-likelihood ratios. Various simplifications of the SPA can be adopted for different
4 implementations depending on specific applications.

5 The multilevel techniques herein before described are suitable for use in multicarrier
6 modulation systems. Examples of such systems include discrete multitone modulation
7 systems and filtered multitone modulation systems such as ADSL, ADSL lite and VDSL
8 systems. In multicarrier modulation, as each carrier adapts the spectral efficiency of its
9 transmission to the channel characteristics, the employed multilevel symbol constellation
10 can vary from one carrier to the next. Coding is not performed separately for each
11 subchannel but rather "across" subchannels. Therefore, the provision of uncoded bits
12 allows multilevel coding to be achieved in a very flexible way because different
13 constellation sizes can be accommodated efficiently.

14 The underlying error-correcting code need not be limited to LDPC codes. Other types of
15 block codes can also be employed. For example, the family of repeat-accumulate codes
16 described in D. Divsalar, H. Jin, and R.J. McEliece, "Coding theorems for 'turbo-like'
17 codes," Proc. 36th Allerton Conf. on Communications, Control, and Computing, Allerton,
18 Illinois, pp. 201-210, Sept. 1998, and H. Jin, A. Khandekar, and R. McEliece, "Irregular
19 Repeat-Accumulate Codes," Proc. Int. Symp. on Turbo-Codes, Brest, France, pp. 1-8,
20 Sept. 2000, which can be understood as a particular form of LDPC codes, can be also
21 used. Similarly, array codes can be employed. When array codes are viewed as binary
22 codes, their parity check matrices exhibit sparseness which can be exploited for decoding
23 them as LDPC codes using the SPA or low complexity derivatives thereof. Array codes
24 are described further in M.Blaum, P.Farrell, and H.van Tilborg, "Array codes", in
25 Handbook of Coding Theory, V.S Pless and W.C.Huffman Eds., Elsevier 1998.

1 As mentioned earlier, LDPC codes can be decoded at the receiver 20 via the sum-product
2 algorithm (SPA). The SPA is described in the aforementioned reference D. J. C. MacKay,
3 "Good error-correcting codes based on very sparse matrices," IEEE Trans. on Inform.
4 Theory, vol. 45, No. 2, pp. 399-431, Mar. 1999. The SPA operates on a bipartite graph
5 associated with a given sparse parity check matrix H having M rows and N columns. This
6 graph has two types of nodes: N symbol nodes corresponding to each bit in a code word
7 \underline{x} , and M check nodes corresponding to the parity checks $pc_m(\underline{x})$, $1 \leq m \leq M$, represented
8 by the rows of the matrix H . Each symbol node is connected to the check nodes it
9 participates in, and each check node is connected to the symbol nodes it checks. The SPA
10 operates by passing messages between symbol nodes and check nodes. The messages
11 themselves can be *a posteriori* probabilities (APP) or log likelihood ratios (LLRs).
12 Typical message parsing schedules alternately compute updates of all symbol nodes and
13 of all check nodes.

14 The computational complexity of the SPA is governed by the check node updates. In the
15 probability domain, such computation involves the summation of the product terms each
16 involving a plurality of probabilities. In the log domain, the check node updates require
17 computation of the inverse hyperbolic tangent of a product of hyperbolic tangent
18 functions of LLRs. Mackay demonstrated via computational simulations that there is a
19 loss in performance of approximately 0.2dB associated with such conventional
20 techniques. This performance loss can be substantial in terms of block and symbol error
21 rates because of the steepness of the error curves of LDPC codes. An SPA having a
22 substantially reduced complexity but without incurring a loss in performance would be
23 clearly desirable..

24 In an advantageous embodiment of the present invention, an approximate check node
25 update is based on a difference-metric approach on a two state trellis. This approach
26 employs a dual max. approximation. The aforementioned Fosserier reference describes an
27 example of a dual max. approximation. The approach can be thought of as similar to a

1 Viterbi algorithm on a two state parity check trellis. The approach uses the difference of
2 state metrics, i.e., the difference of logs, which is the LLR of the probabilities. The
3 approach is recursive and requires one sign bit manipulation and one comparison at a
4 time. This greatly simplifies computational implementation and facilitates parallel
5 recursive operation in a general purpose Digital Signal Processor (DSP) environment, or
6 in an application specific integrated circuit (ASIC) or similar custom logic design.

7 In a particular embodiment of the present invention, the performance of the algorithm is
8 improved by introduction of a correction factor. The correction factor involves the
9 addition of a constant at every recursive step. The added constant can be viewed as a
10 fixed offset with the appropriate polarity. The addition does not significantly increase
11 computational complexity. It is found that the correction factor bring the performance of
12 the algorithm to within 0.05dB of the performance of the full SPA.

13 In an advantageous embodiment of the present invention to be described shortly, there is
14 provided a soft input/output detection method for decoding LDPC codes by exchanging
15 reliability information between the soft demapper 130 and the multilevel decoder 140 in
16 an iterative fashion. This decoding method advantageously delivers similar performance
17 to that of full SPA, but with considerably reduced complexity. The encoded data is
18 demapped into soft bits prior to LDPC decoding. LDPC codes can be decoded in an
19 iterative fashion via a complex soft input/output algorithm in a manner which is
20 computationally simpler than that conventionally employed for decoding turbo codes.
21 Also, as mentioned earlier, LDPC codes exhibit asymptotically an excellent performance
22 without "error floors". Further, LDPC codes offer a range of tradeoffs between
23 performance and decoding complexity.

24

25 Following the notation employed in the aforementioned Mackay and Fossosier
26 references, let $N(m) = \{n : H_{m,n} = 1\}$ be the set of bits that participate in check m , and let
27 $M(n) = \{m : H_{m,n} = 1\}$ be the set of checks in which bit n participates. The exclusion of

1 an element n from $N(m)$ or m from $M(n)$ is denoted by $N(m) \setminus n$ or $M(n) \setminus m$, respectively,
2 and H^T is the transpose of H . Finally, let $\underline{y} = [y_1, \dots, y_N]$ be the received sequence that
3 corresponds to the transmitted codeword $\underline{x} = [x_1, \dots, x_N]$. The inputs of the SPA consist of
4 LLRs $\ln(P(x_n = 1 | y_n) / P(x_n = 0 | y_n))$ or, equivalently, of APPs
5 $P(x_n = 1 | y_n)$ and $P(x_n = 0 | y_n)$, which are determined by the channel statistics. Operation
6 of the SPA then proceeds in the following steps:

7 *Initialization:* $q_{m,n}(x) = P(x_n = x | y_n)$ for $x = 0, 1$.

8 *Step 1 (check-node update):* For each m and $n \in N(m)$, and for $x = 0, 1$, compute

$$9 \quad r_{m,n}(x) = \sum_{\{x_{n'} : n' \in N(m) \setminus n\}} P(pc_m(\underline{x}) = 0 \mid x_n = x, \{x_{n'} : n' \in N(m) \setminus n\}) \prod_{n' \in N(m) \setminus n} q_{m,n'}(x_{n'}),$$

10 where the conditional probability in the summation is an indicator function that indicates
11 whether the m -th check-sum is satisfied given the hypothesized values for x_n and $\{x_{n'}\}$.

12 *Step 2 (symbol-node update):* For each n , and $m \in M(n)$, and for $x = 0, 1$, update

$$13 \quad q_{m,n}(x) = \mu_{m,n} P(x_n = x | y_n) \prod_{m' \in M(n) \setminus m} r_{m',n}(x),$$

14 where the constant $\mu_{m,n}$ is chosen such that $q_{m,n}(0) + q_{m,n}(1) = 1$.

15 For each n and for $x = 0, 1$, update the “pseudoposterior probabilities” $q_n(\cdot)$ as

$$16 \quad q_n(x) = \mu_n P(x_n = x | y_n) \prod_{m \in M(n)} r_{m,n}(x), \quad (6)$$

17 where the constant μ_n is chosen such that $q_n(0) + q_n(1) = 1$.

- 1 Step 3: (a) Quantize $\hat{\underline{x}} = [\hat{x}_1, \dots, \hat{x}_N]$ such that $\hat{x}_n = 1$ if $q_n(1) > 0.5$, and
2 $\hat{x}_n = 0$ if $q_n(1) \leq 0.5$.
- 3 (b) If $\hat{\underline{x}}H^T = \underline{0}$, then stop and $\hat{\underline{x}}$ is the decoder output; otherwise go to Step 1.
- 4 (c) Declare a failure if the algorithm does not halt within some maximum
5 number of iterations.
- 6 In a particular embodiment of the present invention, LLRs are employed as messages in
7 place of APPs. This permits replacement of the multiplications in Step 2 of the SPA with
8 additions. Step 3 can also be easily adapted for LLRs. Advantageously, LLRs can also be
9 efficiently used in Step 1 without converting between LLRs and APPs.

10 Simplified Sum-Product Step Using Log-Likelihood Ratios:

11 In general, each check-sum $pc_m(\underline{x})$ can be viewed as a single-parity check code on the
12 $k = |N(m)|$ symbols it checks. The node messages $r_{m,n}(x)$ of Step 1 can be regarded as
13 extrinsic information for x_n given the statistics $q_{m,n}(\cdot)$. These messages can be computed
14 by the forward-backward algorithm proposed by Mackay on the two-state trellis of the
15 single-parity check code as follows (where \oplus denotes addition modulo 2):

16 initialization of state metrics: $\alpha_0(0) = 1$, $\alpha_0(1) = 0$; $\beta_k(0) = 1$, $\beta_k(1) = 0$;

17 forward recursion: For $i = 1, \dots, k-1$ and $x = 0, 1$

$$18 \quad a_i(x) = a_{i-1}(0)q_{m,i}(x) + a_{i-1}(1)q_{m,i}(x \oplus 1) \quad ; \quad (7)$$

1 backward recursion: For $i = (k-1), \dots, 1$ and $x = 0, 1$

2 $\beta_i(0) = \beta_{i+1}(0)q_{m,i+1}(x) + \beta_{i+1}(1)q_{m,i+1}(x \oplus 1)$;

3 combining recursion: For $i = 1, \dots, k$ and $x = 0, 1$

4 $r_{m,i}(x) = a_{i-1}(0)\beta_i(x) + a_{i-1}(1)\beta_i(x \oplus 1)$.

5 In the LLR domain, let $\delta A_i \triangleq \ln \frac{a_i(1)}{a_i(0)}$ and $\delta B_i \triangleq \ln \frac{\beta_i(1)}{\beta_i(0)}$. Note that the LLRs δA_i and δB_i

6 can be viewed as the forward and backward difference metrics in the log domain. The

7 application of a difference-metric approach to the dual-max detector for partial-response

8 class IV channels is described in ÖLCER, S., and UNGERBOECK, G.: 'Reed-Muller

9 coding for partial response channels'. 1993 IEEE Int. Symp. on Information Theory, San

10 Antonio, TX (IEEE, Piscataway, 1992), p. 243 . Consider the two-state parity-check

11 trellis using the difference of state metrics, i.e., the difference of logarithms, which is

12 merely the LLR of the probabilities. Consider also the following LLRs: $\lambda_{m,i} \triangleq \ln \frac{q_{m,i}(1)}{q_{m,i}(0)}$

13 and $\Lambda_{m,i} \triangleq \ln \frac{r_{m,i}(1)}{r_{m,i}(0)}$. Using the above definitions, the standard approximation

14 $\ln \sum_j \exp a_j \approx \max_j a_j$ and the dual-max rule described in VITERBI, A. J.: 'An intuitive

15 justification and a simplified implementation of the MAP decoder for convolutional

16 codes', IEEE J. Sel. Areas Commun., 1998, 16, (2), pp. 260-264, the forward recursion

17 (7) can be rewritten as

18
$$\delta A_i = \ln \frac{a_{i-1}(0)q_{m,i}(1) + a_{i-1}(1)q_{m,i}(0)}{a_{i-1}(0)q_{m,i}(0) + a_{i-1}(1)q_{m,i}(1)}$$

19

20
$$= \ln\{\exp(\lambda_{m,i}) + \exp(\delta A_{i-1})\} - \ln\{1 + \exp(\lambda_{m,i} + \delta A_{i-1})\} \quad (8)$$

$$1 \quad \approx \max\{\lambda_{m,i}, \delta A_{i-1}\} - \max\{0, \lambda_{m,i} + \delta A_{i-1}\} \quad (9)$$

$$2 \quad = \begin{cases} -\text{sgn}(\delta A_{i-1})\lambda_{m,i} & \text{if } |\delta A_{i-1}| > |\lambda_{m,i}| \\ -\text{sgn}(\lambda_{m,i})\delta A_{i-1} & \text{otherwise,} \end{cases}$$

3

4 where $\text{sgn}(\cdot)$ is the sign function.

5 The backward and the combining recursions can be reformulated in a similar way, which
6 results in the following LLR version of the forward-backward algorithm:

7 initialization: $\delta A_0 = \infty$ and $\delta B_k = \infty$

8 forward recursion: For $i = 2 \dots k-1$

$$9 \quad \delta A_i = \begin{cases} -\text{sgn}(\delta A_{i-1})\lambda_{m,i} & \text{if } |\delta A_{i-1}| > |\lambda_{m,i}| \\ -\text{sgn}(\lambda_{m,i})\delta A_{i-1} & \text{otherwise} \end{cases} \quad (10)$$

10 backward recursion: For $i = k-1 \dots 1$

$$11 \quad \delta B_i = \begin{cases} -\text{sgn}(\delta B_{i+1})\lambda_{m,i+1} & \text{if } |\delta B_{i+1}| > |\lambda_{m,i+1}| \\ -\text{sgn}(\lambda_{m,i+1})\delta B_{i+1} & \text{otherwise} \end{cases} \quad (11)$$

12 combining recursion For $i = 1 \dots k$

$$13 \quad \Lambda_i = \begin{cases} -\text{sgn}(\delta A_{i-1})\delta B_i & \text{if } |\delta A_{i-1}| > |\delta B_i| \\ -\text{sgn}(\delta B_i)\delta A_{i-1} & \text{otherwise} \end{cases} \quad (12)$$

14 Correction Factor for the Dual-Max Approximation:

1 The simplified SPA that results from using Equations (10) to (12) for the check node
 2 updates will be called the LLR-SPA because it operates entirely in the LLR domain. The
 3 LLR-SPA has a slightly lower performance than the full SPA. Following the
 4 aforementioned Viterbi reference, together with GROSS, W. J., and GULAK, P. G.:
 5 'Simplified MAP algorithm suitable for implementation of turbo decoders', Electron.
 6 Lett., 1998, 34, (16), pp. 1577-1578, a correction factor can be applied to improve the
 7 dual-max approximation from Equations (8) and (9). Using the identity

$$8 \ln\{\exp(x) + \exp(y)\} - \max\{x, y\} = \ln\{1 + \exp(-|x - y|)\},$$

9 it can be shown that the approximation error, i.e., (8) minus (9), is given by the bivariate
 10 function

$$11 f(u, v) = \ln \frac{1 + \exp(-|u - v|)}{1 + \exp(-|u + v|)},$$

12 where $u = \delta A_{i-1}$ and $v = \lambda_{m,i}$. In practice, $f(u, v)$ can be approximated by using a single
 13 correction factor c , i.e.,

$$14 f(u, v) \approx \begin{cases} c & \text{if } |u + v| > 2|u - v| \text{ and } |u - v| < 2 \\ -c & \text{if } |u - v| > 2|u + v| \text{ and } |u + v| < 2 \\ 0 & \text{otherwise.} \end{cases}$$

15 A similar correction factor applies to the approximations in the backward and combining
 16 recursions. The constant c can be selected to maximize the performance gains in the
 17 region of interest with respect to bit-error rate or signal-to-noise ratio. Figure 6 shows the
 18 performance of the *LLR-SPA with correction factor* $c = 0.5$ for an additive white
 19 Gaussian noise channel using the same rate-1/2 LDPC code with $N = 504$ as in the
 20 aforementioned Fosserier reference. For comparison, the performance of the full SPA and
 21 LLR-SPA is also shown. The number of iterations for the two sets of curves shown is at

1 most 10 and 200, respectively. It can be seen that *LLR-SPA with correction factor*
2 performs within less than 0.05 dB of the full SPA.

3 The present invention can be realized in hardware, software, or a combination of
4 hardware and software. A visualization tool according to the present invention can be
5 realized in a centralized fashion in one computer system, or in a distributed fashion where
6 different elements are spread across several interconnected computer systems. Any kind
7 of computer system - or other apparatus adapted for carrying out the methods and/or
8 functions described herein - is suitable. A typical combination of hardware and software
9 could be a general purpose computer system with a computer program that, when being
10 loaded and executed, controls the computer system such that it carries out the methods
11 described herein. The present invention can also be embedded in a computer program
12 product, which comprises all the features enabling the implementation of the methods
13 described herein, and which - when loaded in a computer system - is able to carry out
14 these methods.

15 Computer program means or computer program in the present context include any
16 expression, in any language, code or notation, of a set of instructions intended to cause a
17 system having an information processing capability to perform a particular function
18 either directly or after conversion to another language, code or notation, and/or
19 reproduction in a different material form.

20 Thus the invention includes an article of manufacture which comprises a computer usable
21 medium having computer readable program code means embodied therein for causing a
22 function described above. The computer readable program code means in the article of
23 manufacture comprises computer readable program code means for causing a computer to
24 effect the steps of a method of this invention. Similarly, the present invention may be
25 implemented as a computer program product comprising a computer usable medium
26 having computer readable program code means embodied therein for causing a a function

1 described above. The computer readable program code means in the computer program
2 product comprising computer readable program code means for causing a computer to
3 effect one or more functions of this invention. Furthermore, the present invention may be
4 implemented as a program storage device readable by machine, tangibly embodying a
5 program of instructions executable by the machine to perform method steps for causing
6 one or more functions of this invention.

7 It is noted that the foregoing has outlined some of the more pertinent objects and
8 embodiments of the present invention. This invention may be used for many
9 applications. Thus, although the description is made for particular arrangements and
10 methods, the intent and concept of the invention is suitable and applicable to other
11 arrangements and applications. It will be clear to those skilled in the art that
12 modifications to the disclosed embodiments can be effected without departing from the
13 spirit and scope of the invention. The described embodiments ought to be construed to
14 be merely illustrative of some of the more prominent features and applications of the
15 invention. Other beneficial results can be realized by applying the disclosed invention in
16 a different manner or modifying the invention in ways known to those familiar with the
17 art.